

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jorg J. Goronzy et al.  
Serial No. : 09/723,000  
Filed : November 27, 2000  
Title : METHODS AND MATERIALS FOR EVALUATING RHEUMATOID  
ARTHRITIS

Art Unit : Unknown  
Examiner : Unknown

**BOX SEQUENCE**

Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

The following documents relating to this application are enclosed.

1. Response to Notice to Comply with Requirements for Patent Applications Containing Nucleotide and/or Amino Acid Sequences (5 pages);
2. Copy of Notice to Comply with Requirements (1 page);
3. Statement Under 37 CFR §§1.821(f) and (g) (1 page);
4. Verified Statement Under 37 CFR §1.821(f);
5. Paper copy of Sequence Listing (5 pages);
6. Computer-readable copy of Sequence Listing (1 disk); and
7. Postcard.

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

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TECH CENTER 1600/2900

Date: March 22 2001

J. Patrick Finn III  
J. Patrick Finn III, Ph.D.  
Reg. No. 44,109

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60036206.doc

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

March 22, 2001

Date of Deposit

Jill Huso  
Signature

Jill Huso

Typed or Printed Name of Person Signing Certificate



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STATEMENT UNDER 37 CFR §§1.821(f) AND (g)

I hereby state, as required by 37 C.F.R. §1.821(f), that the content of the paper and computer-readable copy of the Sequence Listing, submitted in accordance with 37 C.F.R. §§1.821(c) and (e), respectively, are the same.

I hereby state, as required by 37 C.F.R. §1.821(g), that the enclosed submission include no new matter.

Respectfully submitted,

Date: March 22, 2001

J. Patrick Finn III  
J. Patrick Finn III, Ph.D.  
Reg. No. 44,109

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/723,000	11/27/2000	Jorg J. Goronzy	07039-170002

Mark S Ellinger PhD  
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Minneapolis, MN 55402

FORMALITIES LETTER



\*OC000000005692692\*

Date Mailed: 01/22/2001

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):
  - This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c).
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required under 37 CFR 1.821(e).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-1123
- For CRF Submission Help, call (703) 308-4212
- PatentIn Software Program Support
- - For Technical Assistance, call (703) 287-0200
- - To Purchase PatentIn Software, call (703) 306-2600

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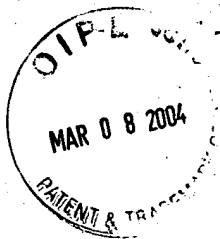
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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



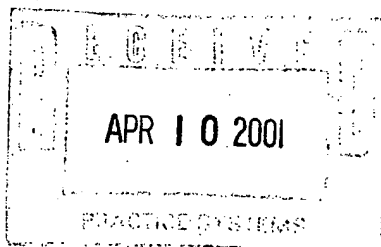
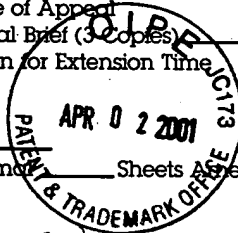
## PATENT

ATTORNEY DOCKET NO.: 07039-170002

The Patent and Trademark Office date stamp sets forth the date of receipt of:

Applicant or Patentee Jorg J. Goronzy and Cornelia M. WeyandNo. (Application, Appeal, Interference, Patent, Reexam) 09/723,000Filing or Issue Date November 27, 2000Title: Methods and Materials For Evaluating Rheumatoid Arthritis

- ☒ Transmittal Letter (\$ Copies) ☐ With Pet. for Ext.  
☐ Assignment ☐ Status Inquiry  
☒ Amendment/Response 5 Pages ☐ Declaration  
☐ Maintenance Fee ☐ Request Certificate of Correction  
☐ Check \$ ☐ Notice of Appeal  
☐ Deposit Account Order Form (2 Copies) ☐ Appeal Brief (3 Copies) 5 Pages  
☐ Issue Fee ☐ Request Patent Copies ☐ Petition for Extension Time  
☐ Information Disclosure Statement  
☐ PTO 1449 Form-        Pages  
☐ Prior Art References-Number of References         
☐ Drawings        Sheets Formal        Sheets Informal        Sheets Attached  
☐ Notice of Missing Parts  
☐ Combined Declaration and Power of Attorney  
☐ Small Entity Statement  
☒ Other Statement Under 37CFR 1.821 and 6; verified  
Statement Under 37CFR (199); paper copy Sequence (5 pgs);  
Computer Disk Sequence (1 Disk); Copy of Notice to Requirements  
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Initials MF/JPH Matter Name Nayo Date 3/22/01



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